THURSDAY & FRIDAY
APRIL 24 25 2014
Marriott South Park
Charlotte, N.C.

Sponsored by the Darla Moore School of Business with participation by the U.S. Department of Labor and the Internal Revenue Service

Providing Thought Leadership and Industry Best Practices for Qualified Plan Sponsors, Plan Advisors, Record Keepers, Attorneys and Auditors

learnmoore.com
# Agenda At-A-Glance

## THURSDAY, APRIL 24

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<thead>
<tr>
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### Continuing Education Credit Eligible:

- HR Certification Institute: 11.5 Recertification Hours
- CFP Board: 11.5 Credit Hours
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- NC CLE: PENDING
- SC Department of Insurance: 11 Continuing Education Hours
- NC Department of Insurance: PENDING
- Institute of Certified Bankers: 14 CRSP Credits

Visit LearnMoore.com for up-to-date information on continuing education credits.
Session Descriptions

Don’t miss important and timely updates from featured speaker, Fred Reish, and other industry leaders such as Frasier Ives, Linda Kerschner and Andres Garcia-Amaya.

**Economic Trends and Forecasts**

*Speaker:* Andrés García-Amaya, Vice President, Market Strategist, JP Morgan Asset Management  
*Moderator:* Julian Dalzell, Lecturer and Executive in Residence, Darla Moore School of Business Management Department, University of South Carolina

- A Review of Present and Forecasted Economic Trends

**Internal Revenue Service Update: What Has Changed Since Last Year and Why You Need to Know**

*Speakers Invited from IRS:* Jalen Baumgardner, Group Manager, Employee Plans Examinations  
*Mike Sanders, Acting Director, Employee Plans Rulings and Agreements*  
*Moderator:* Stephen D. Kirkland, Compensation Consultant, Atlantic Executive Consulting Group

- Focus on Latest Regulatory and Procedural Changes
- 2014 IRS Initiatives
- Explanation of How These Changes and Initiatives May Affect Your Business

**Case Law Update**

*Speaker:* J. René Toadvine, Office Managing Shareholder, Littler Mendelson  
*Moderator:* Stephen D. Kirkland, Compensation Consultant, Atlantic Executive Consulting Group

- Key Factors in Recent Litigation
- Tips for Protecting Your Plans

**U.S. Department of Labor Update: What Has Changed Since Last Year and Why You Need to Know**

*Speaker Invited from DOL:* Jennifer Del Nero, Associate Regional Director, Atlanta Regional Office  
*Moderator:* Stephen D. Kirkland, Compensation Consultant, Atlantic Executive Consulting Group

- Focus on Latest Regulatory and Procedural Changes
- 2014 DOL Initiatives
- Explanation of How These Changes and Initiatives May Affect Your Business
Hot Topics in Employee Benefits – Must-Know Legal Issues

**Speaker:** Jon T. Coffin, JD, CPA, Partner, Johnston, Allison and Hord, P.A.
**Moderator:** Suzanne G. Odom, Esq., Member, Nexsen Pruet, LLC

- Retirement Plan Fix-It Work: What You Need to Know about the New IRS Correction Guidance, Fixing 403(b) Plans and Self-Correction, Including Real-Life Examples
- Employee Benefit Plans and Attorney-Client Privilege: How to Communicate with Your Lawyer
- Important Reminders: Tidbits To Avoid Trip-Ups, Including 409A Compliance, PPA and COLI Compliance, Controlled Groups and Affiliated Service Groups
- Other Recent Developments

Fiduciary Duties and Best Practices for Retirement/Investment Committees

**Speaker:** Linda M. Kerschner, Senior Vice President and Financial Advisor, CAPTRUST Financial Advisors
**Moderator:** Phillip Pounds, Vice President, Financial Advisor, CAPTRUST Financial Advisors

- Documentation for a Committee
- Developing the Investment Policy
- Inclusion of Meeting Minutes
- Training for a Committee

Healthcare Reform: What’s Next?

**Speaker:** H. Frasier Ives, Senior Vice President, Employee Benefits Compliance Leader–East Area, Wells Fargo Insurance Services USA, Inc.
**Moderator:** Harry E. Harner, Vice President and Regional Director, Wells Fargo Institutional Retirement and Trust

After the delay of the employer “play or pay” mandates and the rough rollout of the public health insurance marketplace exchanges, what’s next for employers struggling to make sense of the changing health insurance market? This session will review the most recent developments with respect to the Affordable Care Act (ACA), including:

- New Information Reporting Requirements
- Future Use of Health Reimbursement Arrangements Under the ACA
- Promise vs. Reality with Respect to Public and Private Exchanges
- Strategic Planning Tips for Employers to Minimize Their “Play or Pay” Mandate Exposure Starting in 2015

What Keeps Plan Sponsors Up at Night: Plan Sponsor Panel Perspective

**Speakers:** Mercedes Ikard, Manager, Retirement Programs, Snyder’s-Lance, Inc.
Randall J. Moon, Vice President International HR, Benefits & HRIS, Lowes
Alvin Shaver, Director of Compensation and Benefits, Southeastern Freight Lines
**Moderator:** H. Frasier Ives, Senior Vice President, Employee Benefits Compliance Leader–East Area, Wells Fargo Insurance Services USA, Inc.

- Hard Decisions in Healthcare: Keeping or Killing Coverage; Reducing Worker Hours; Staying Competitive
- Fear of the Unknown with Retirement Plan Compliance
- Fulfilling Fiduciary Duties: When Have We Done Enough to Document, Communicate, etc.?
- Managing Expectations of Management and Employees

Practical Resolutions and Tips for Resolving Beneficiary Issues

**Speaker:** R. Michael Munden, Esq., R. Michael Munden, Esq., P.A.
**Moderator:** Phillip Pounds, Vice President, Financial Advisor, CAPTRUST Financial Advisors

- QDROS: Qualified Domestic Relations Orders – You Have the Form, Now What?
- Managing Beneficiary Disputes
- Missing Beneficiaries

Former DOL Associate Regional Director – What You Need to Know about the DOL

**Speaker:** J. Shannon Davis, Principal, J. Shannon Davis Pension Consulting, LLC
**Moderator:** Suzanne G. Odom, Esq., Member, Nexsen Pruet, LLC

- Organizational Structure and Protocols – The Real Story
- The DOL’s Favorite Compliance Issues and Resolution
- Issues Regarding Audits: DOL’s Motivations
- Procedural Intel That Can Work to Your Advantage
- Should I Settle with DOL – or Not?

*Speakers and topics are subject to change without notice.*
from featured speaker, Fred Reish, and Don’t miss important and timely updates they do about it?

• Why will plan sponsors

Financial Advisors
Financial Advisor, Senior Vice President and Moderator:
Keynote Speaker:
Retirement Plan
Linda M. Kerschner,
Affect Your Business
• Explanation of How These Changes and Initiatives May
• 2014 DOL Initiatives
• 2014 IRS Initiatives
• Explanation of How These Changes and Initiatives
• Key Factors in Recent Litigation
• Tips for Protecting Your Plans

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Case Law Update
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• Must-Know Legal Issues
Hot Topics in Employee Benefits –
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• Promise vs. Reality with Respect to Public
• Future Use of Health Reimbursement
• New Information Reporting Requirements
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• 2014 DOL Initiatives
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• The DOL’s Favorite Compliance Issues
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